

Fishery Disaster and CARES Act

August 24, 2021

Role of Commission

- Provide Administrative oversight
- Coordination of activities with various entities/parties
- Ensure Compliance with Award Terms and Conditions
 - Programmatic/Legislative
 - Financial
 - Reporting
- Maintain adequate records and necessary documentation for audit

Timelines

- Fishery Disaster approved by Secretary of Commerce
- Funds approved by Congress
- Commission receives notification from NOAA of funding
- Commission prepares a Grant Application for funding
- OMB appropriates funds to NOAA/NMFS. NMFS submits grant application to NOAA Grants Office
- Meetings and Conference calls with Agencies/States to discuss process and requirements (Affidavits, Spend Plan, Applications)
- Commission receives Grant Award from NOAA Grants Office
- Detailed spend plans submitted to PSMFC to begin designing applications
 - For CARES: PSMFC submit drafts to NMFS staff for review, comments, requests for revised spend plans
 - Spend plans finalized by agencies. PSMFC submits final spend plan to NOAA Grants Office for approval.

Timelines (Continued)

- PSMFC receives approval from NOAA Grants Office on spend plan.
- PSMFC staff works with agency staff designing application specific to spend plan criterial/eligibility/requirements.
- Applications finalized
- Postcards mailed to all potential eligible applicants
- Application period begins
- PSMFC website updated
- Completed applications sent to PSMFC

Fishery Disasters Past and Present

- 2006 Klamath River Commercial Salmon - \$60 million (Completed)
 - PSMFC Admin cost – 2%
- 2008 Sacramento River Commercial & Recreational Salmon - \$170 million (Completed)
 - PSMFC Admin cost – 2%
- 2007 Fraser River Sockeye WA Non-Tribal- \$646,000 (Completed)
 - PSMFC Admin cost – 2%
- Yukon River Commercial Salmon - \$5 million (Completed)
 - PSMFC Admin Cost – 1.8%
- 2010, 2011, 2012 Yukon River, Kuskokwim, Cook Inlet AK Chinook Salmon - \$20,776,727 (Completed)
 - PSMFC Admin Cost – 1%
- 2016 Gulf of AK Pink Salmon - \$56,284,970 (Ongoing: Grant expires 6/30/2023)
 - PSMFC Admin Cost - .04%

Fishery Disaster (continued)

- 2015 WA Coastal Salmon (Non-Tribal), 2016 Ocean Salmon Troll and charter (Non-Tribal), 2015-2016 CA Crab - \$27,311,101 (Ongoing: Grant expires April 30, 2023)
 - PSMFC Admin Cost - .04%
- 2014 Fraser River Sockeye Salmon, 2015 WA Coastal Salmon, 2015 South Puget Sound Salmon, 2016 Klamath River Chinook, 2015 WA Crab- \$35,581,187 (Ongoing: Grant expires April 30, 2023)
 - PSMFC Admin Cost – 1.2%
- 2013 Fraser River Sockeye Tribal and Non-Tribal, 2015 Coastal Coho and Pink Tribal Salmon, 2015-2016 CA Pacific Sardines, 2016 Ocean Troll Tribal Coho and Chinook, 2016-2017 Klamath River Fall Chinook Tribal and Non-Tribal- \$18,919,062 (Ongoing: Grant expires April 30, 2024)
 - PSMFC Admin Cost – 1.1%

Fishery Disaster (continued)

- 2018 AK Chignik Sockeye Salmon - \$10,316,712 (New: Grant effective August 1, 2021)
 - PSMFC Admin Cost – 1.6%
- 2018 Gulf of AK Pacific Cod - \$24,392,023 (New: Grant effective August 1, 2021)
 - PSMFC Admin Cost – 1.6%
- 2016 & 2017 CA Red Sea Urchin, 2017-2019 CA Pacific Sardine, 2018 Yurok Tribe Klamath River Fall Chinook - \$7,766,541 (New: Grant submitted, waiting for Grant)
 - PSMFC Admin Cost – 1.7%

CARES Act

- CARES Act for States and Territories - \$143,215,419
 - PSMFC Admin Cost - .043%
 - Spend plans submitted for approval: 31
 - Funds distributed to date: \$81,889,998.69
- CARES Act for West Coast Tribes - \$5,061,849
 - PSMFC Admin Cost - .017%
 - Spend plans submitted for approval: 30
 - Funds distributed to date: \$2,164,795.45
- CARES Act for States and Territories (round 2) - \$115,689,467
 - PSMFC Admin Cost - .046%
 - Spend plans submitted for approval: 2

CARES Act Round 1

- Hawaii – spend plan approved 10/30/2020:
 - 364 applications received
 - 284 applications processed for payment
 - 80 applications disqualified or ineligible
- California - spend plan approved 7/29/2020:
 - 11,527 applications mailed
 - 2,271 applications processed for payment
 - 545 applications disqualified or ineligible
- Oregon – spend plan approved 7/29/2020:
 - 410 applications received
 - 304 applications processed for payment
 - 106 applications disqualified or ineligible
- Alaska – spend plan approved 2/25/2021:
 - 6,172 applications received
 - Still processing for payment
 - To date number ineligible or disqualified

CARES Act Round 1 (continued)

- Washington – spend plan approved 2/5/2021:
 - 810 applications received
 - 682 applications processed for payment
 - 128 applications disqualified, ineligible, or late
- WA Addendum – 23 Tribes through subawards
- Guam – spend plan approved 10/20/2020:
 - 785 applications received
 - Still processing for payment
- CNMI – spend plan approved 11/9/2020:
 - 1176 applications received
 - Still processing for payment
- American Samoa – spend plan approved 11/3/2020:
 - Nothing received to date

CARES Act Round 2

- Hawaii:
 - Final spend approved by NOAA Grants –
 - Postcards mailed to participants – 8/16/2021
 - Application available on website – 8/16/2021
 - Application deadline
- California:
 - Final spend plan submitted to NOAA Grants for approval – 8/16/2021
 - Postcards to be mailed to participants –
 - Application available on website - TBD
 - Application deadline (45 days from posting on website)
- Alaska
- Washington
- Oregon
- Guam
- CNMI
- American Samoa

Processing of Application

- Application received at PSMFC office (electronically and via mail)
- Application stamped “Date Received”
- Every application is reviewed for:
 - Received by deadline
 - Completeness
 - Meet criteria/eligibility of agency spend plan
 - Accuracy
 - Incomplete applications are given timeline to respond with corrections/additional information
 - Additional information/amended applications matched to original application

Process of Application (continued)

- Application information entered into database for allocation purposes
- Once all applications are processed, allocation of funds based on spend plan is performed
- Disbursement data is submitted to Accounting for disbursement
- Checks mailed

Checklist for HI CARES Act

- Ensure the applicant provided the necessary APPLICANT INFORMATION.
- While critical for contacting should there be any issues, an email and phone are not necessarily required.

- SECTION 2 – **ELIGIBILITY**
- **Ensure the applicant selected only one sector per applications**, i.e. commercial longline, commercial non-longline, or charter/for-hire.
 - Ensure the applicant provided an applicable permit/license/account number.
- These numbers should correlate to the database. Please **search for the applicable credential in the “Permit” field in the database.**
- If a given application is for **“Charter/For-Hire”** the applicant is **responsible for entering:**
 - DOBAR/Marina Account #
 - Vessel Name
 - ID Number (HA or USCG)
 - Vessel Owner (if different from applicant)
- If a given application is for “Seafood Processors and Dealers,” Aquaculture,” or “Other” please flag for review by Brian.
- If a given application is for “Subsistence/Cultural/Ceremonial” please ensure a narrative accompanied the application. It should be typed, however if hand written this is not an excluder.” Please flag for review by Brian / Hawaii.

HI CARES Checklist (continued)

- SECTION 3 – **CLAIM REQUIREMENTS FOR ELIGIBLE SECTORS**

- Please **ensure that the dates** of the claim are not:

- before 2/1/2020 and
- do not exceed 9/30/2020
- at least 4 continuous weeks (28 days).

- Please **ensure there are at least 1/5 years**, i.e. 2019 or another year of prior revenues.

- There has to be something to compare 2020 to (35% gross revenue loss).

- **If the applicant did not utilize the .pdf fillable please ensure lines 4,5, & 6 are correct using the revenues provided in line 3.**

- **Ensure that line #6, relative loss is \geq 35%.**

SECTION 4 – **AFFIDAVIT and W-9 FORM**

- Please ensure that each of the statements is initialed. A checkmark will suffice.

- Ensure the applicant printed their name, dated their application, and signed.

- Normal W-9 rules apply. Ensure they filled it out correctly, signed, dated, and an address is present.

- Individual/sole proprietor or single-member LLC needs a SS#.
- Business using an EIN needs to be a corporation, partnership, trust/estate.

Challenges/Common Issues

- Applicants do not read the spend plans.
- Applications are partially complete due to not following instructions.
 - Affidavits – not completed
 - Missing sections on applications
 - Application sections are incomplete for determining the relative loss and meeting the criteria of greater than 35% loss
 - W-9 data incorrect
- Application period inadequate due to remote locations, fishing season, dependent on US Postal Service

Changes for Round 2

- Application changed to web based
 - Required fields
 - Accessible on mobile devices
 - Data uploaded daily
- PSMFC Website updated: Each state has a link to a separate page
- Individual phone numbers and e-mail addresses per State/Territory

Questions